

Individuals seeking consideration to be a Principal Investigator (herein referred to as "PI"), Co-PI or Program Director on a sponsored project who otherwise do not have this privilege should review [Policy 1310 Principal Investigator Eligibility Requirements on Sponsored Projects](#), [Policy 1316 Effort Commitment: Managing Effort Associated with Sponsored Projects](#), and the [Faculty Handbook, Section XX: University Policies Concerning Teaching and Research](#), in consultation with their immediate supervisor and then complete this form. All PI status requests must be prepared by the department administrator and/or the proposed PI. They must be approved by the faculty sponsor or mentor, the department chair, and the dean or provost.

Note: The completion of this form is **not** required for students, postdoctoral appointees, other trainees, or associate research scientists when submitting applications for 1. mentored projects such as mentored career development awards, or 2. mentor/mentee type fellowship applications where the sponsor requires that the mentee conduct her/his research under the supervision of a designated faculty mentor/sponsor in the facilities of that designated mentor/sponsor and/or the sponsor requires supporting documentation from the mentor (e.g., CV, letter of support).

The completion of this form **IS** required for non-mentor/mentee fellowship applications.

To be completed by proposed PI:

Name of proposed PI:

Position at Yale:

Proposal title:

Name of funding sponsor:

Is the proposed PI requesting part-time PI status? Yes
 No

If yes, please print the name of the individual who will oversee the project when the PI is not present at Yale:

Signature of individual who will oversee the project when the PI is not present:

<input type="text"/>	Date:	<input type="text"/>
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I request permission to submit the above titled proposal and have completed all compliance and training requirements.

Signature of proposed PI:

<input type="text"/>	Date:	<input type="text"/>
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To be completed by faculty sponsor/mentor:

Name of faculty sponsor or mentor who will supervise PI:

I, the above mentioned, approve this PI status request for the proposed PI named in this application. Further, I understand that my approval is not the final approval and requires approval of the appropriate official(s).

In approving this request, I confirm that the proposed PI:

Will be appropriately mentored and monitored. This is particularly true if the applicant is a voluntary faculty member, trainee, or postdoctoral appointee.

- Will be provided with appropriate unrestricted dollars supporting his/her effort. These dollars may be used to prepare the proposal requiring this request. Depending on the nature of the proposal, proposal preparation effort may range from 3 to 5%.
- Possesses the necessary scientific training, skills and knowledge to serve as the PI of the project.
- Has completed the requisite training needed to oversee the financial administration and personnel on the project, and has successfully completed the Sponsored Projects Administration (SPA) for Faculty training.

Date:

- Has completed the Patent Policy Acknowledgment and Agreement (PPAA) form.

Date:

- Has completed and submitted an up to date financial interest disclosure (COI) form.

Date:

- Has completed a VA MOU (if applicable).

Date of current VA MOU:

- Has completed the required training should the project involve hazardous agents, human, and/or animal subjects, or will ensure that the training is completed prior to award depending on sponsor requirements.
- Has the expertise required to perform the project safely and in full compliance with Yale standards (if applicable).
- Will be provided the necessary facilities and resources required to conduct the sponsored project. Facilities and resources include physical space as well as secretarial, administrative and/or technical support.

Signature of faculty sponsor/mentor

Date:

Additional Approvals:

Name of department chair:

Signature of department chair:

Date:

Name of dean or provost:

Signature of dean or provost:

Date:

** In completing this form, I confirmed the accuracy of the dates of the compliance requirements indicated above.*

Signature of Department Administrator:

Date:

Please complete and submit a copy of this form, and any additional materials that may support your request (abstract, CV/biosketch, RFA/RFP/FOA, etc.), to the faculty sponsor/mentor, department chair and the dean or provost in order to obtain the necessary approval. The approved form must be included with the proposal submitted to the Office of Sponsored Projects.