



Individuals seeking consideration to be a Principal Investigator (herein referred to as “PI”), Co-PI, or Program Director on a sponsored project who otherwise do not have this privilege should review [Policy 1310](#) *Principal Investigator Eligibility Requirements on Sponsored Projects*, [Policy 1316](#) *Effort Commitment: Managing Effort Associated with Sponsored Projects*, and the [Faculty Handbook](#), Section XXII: *University Policies Concerning Teaching and Research*, in consultation with their immediate supervisor and then complete this form. All PI status requests must be prepared by the department administrator and/or the proposed PI. They must be approved by the faculty sponsor or mentor, the department chair, and the dean or provost.

Note: The completion of this form is **not** required for students, postdoctoral appointees, other trainees, or associate research scientists when submitting applications for: 1. mentored projects, such as mentored career development awards; or 2. mentor/mentee type fellowship applications where the sponsor requires that the mentee conduct their research under the supervision of a designated faculty mentor/sponsor in the facilities of that designated mentor/sponsor and/or the sponsor requires supporting documentation from the mentor (e.g., CV, letter of support).

The completion of this form **IS** required for non-mentor/mentee fellowship applications.

Section I: To be completed by proposed PI			
Name of proposed PI:		Position at Yale:	
IRES #:		Proposal title:	
Name of funding sponsor:			
Is the proposed PI requesting part-time PI status?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If “Yes,” please print the name of the individual who will oversee the project when the PI is not present at Yale:			
Electronic signature of individual who will oversee the project when the PI is not present:			
<i>I request permission to submit the above titled proposal and have completed all compliance and training requirements.</i>			
Electronic signature of proposed PI:			

Section II: To be completed by the faculty sponsor/mentor			
Name of faculty sponsor or mentor who will supervise PI:			
<i>I, the above mentioned, approve this PI status request for the proposed PI named in this application. Further, I understand that my approval is not the final approval and requires approval of the appropriate official(s).</i>			
In approving this request, I confirm that the proposed PI:			
<input type="checkbox"/>	Will be appropriately mentored and monitored. This is particularly true if the applicant is a voluntary faculty member, trainee, or postdoctoral appointee.		
<input type="checkbox"/>	Will be provided with appropriate unrestricted dollars supporting their effort. These dollars may be used to prepare the proposal requiring this request. Depending on the nature of the proposal, proposal preparation effort may range from 3 to 5%.		
<input type="checkbox"/>	Possesses the necessary scientific training, skills, and knowledge to serve as the PI of the project.		
<input type="checkbox"/>	Has completed the requisite training needed to oversee the financial administration and personnel on the project and has successfully completed the Sponsored Projects Administration (“SPA”) Training for Faculty.		
Date:			
<input type="checkbox"/>	Has completed the Patent Policy Acknowledgment and Agreement (“PPAA”) form.		
Date:			
<input type="checkbox"/>	Has completed and submitted an up-to-date Conflict of Interest Disclosure (“COI”) form.		
Date:			
<input type="checkbox"/>	Has completed Form 1411 FR.01 <i>Yale University/West Haven Veterans Administration Medical Center (“VA”) Joint Appointment Memorandum of Understanding (“VA MOU”)</i> , if applicable.		
Date of current VA MOU:			
<input type="checkbox"/>	Has completed the required training should the project involve human subjects, animal subjects, and/or hazardous agents, or will ensure that the training is completed prior to award depending on sponsor requirements.		
<input type="checkbox"/>	Has the expertise required to perform the project.		
<input type="checkbox"/>	Will be provided the necessary facilities and resources required to conduct the sponsored project. Facilities and resources include physical space as well as administrative and/or technical support.		
Electronic signature of faculty sponsor/mentor:			

Section III: Additional Approvals		
Electronic signature of Department Chair:		
Electronic signature of Dean or Provost:		
<i>In completing this form, I confirmed the accuracy of the dates of the compliance requirements indicated above.</i>		
Electronic signature of Department Administrator:		

Please complete and submit a copy of this form, and any additional materials that may support your request (abstract, CV/biosketch, RFA/RFP/FOA, etc.), to the faculty sponsor/mentor, Department Chair, and the Dean or Provost in order to obtain the necessary approval. The approved form must be included with the proposal submitted to the Office of Sponsored Projects.