OSP News & Updates

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*The **OSP News & Updates**, published by the Office of Sponsored Projects, is a bi-weekly subscription-based newsletter that provides OSP and sponsor updates and reminders, quick facts, guidance and training in all aspects of sponsored projects administration. Subscribe to OSP News & Updates[i]*

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1 CYBERSECURITY MATURITY MODEL CERTIFICATION (CMMC) REQUIREMENTS

The Department of Defense (DoD) recently released the Cybersecurity Maturity Model Certification (CMMC), a unified cybersecurity standard for future DoD federal contracts. The CMMC is a cybersecurity assessment model and certification program for all DoD contractors, which includes Yale, and was implemented to assess and enhance the cybersecurity position of DoD contractors. This is currently limited to DoD **contracts.** At this time no other federal agency has implemented this requirement.

DoD will begin adding the CMMC requirements in requests for information in May or June 2020, and by September 2020, it will add this requirement to its RFPs, FOAs, etc. to award a federal contract. If you see this requirement in any RFP or other documents, **please make your proposal manager aware of it as soon as possible.**

2 NEW NIH FORMS F

Beginning Monday, May 4, 2020 the new NIH FORMS F form set will be available in IRES. There will be no outage for this process.

Any proposals with a due date of **May 25, 2020 or later must be submitted using FORMS Set F.** FORMS Set E will no longer be accepted after May 24, 2020. If you have already started to develop a proposal with a due date of May 25, 2020 or later, please follow the instructions below to convert your record to FORMS F.

2.1 CHANGES IN PD

The following tabs are new or significantly changed.

1. Human Subjects/CT
    o Reworked landing page to allow an answer and supporting explanation for the question "Does any of the proposed research in the application involve human specimens and/or data?" regardless of answer to human subjects involvement questions (previously only available if human subjects involvement was No)
    o Study records changes
2. Cover Page supplement
    o This tab now includes questions pertaining to Human Fetal Tissue research
3. PHS 398 Career Development Supplemental Form (for K applications)
    o Includes new form titled "Description of Candidate's Contributions to Program Goals"
4. PHS Fellowship Supplemental Form (for F applications)
    o Includes new form titled "Description of Candidate's Contributions to Program Goals"
5. PHS Assignment Request Form
    o Clarified instruction test
    o Changed several field labels
    o Removed two fields
    o Added "Rationale for assignment suggestions" text box

2.2 CONVERTING PD RECORDS FROM A FORMS-E OPPORTUNITY TO A FORMS-F OPPORTUNITY

Records already begun in IRES using an E forms set can be easily converted to the F forms set by following these steps:

1. **Download all documents from the following tabs (as applicable) and save locally**.
    o Cover Page Supplement
    o Career Development Award Supplemental forms (for K and F mechanisms)
    o Human Subjects/CT
    o PHS Assignment Request form
2. On the Setup Questions tab click the link Get Opportunity Number.
3. Click the magnifying glass to search for the opportunity number
4. Select the line where Competition ID = FORMS-F
5. Click Save in the upper right corner to close the window

If you have any questions or concerns, please email ires@yale.edu or your OSP proposal manager.

3   OSP Brown Bag Meeting Q&A

Thank you to everyone who filled out our Brown Bag survey – we appreciate your feedback! Below are responses to questions submitted via the survey.

For answers to more of your COVID-19 related questions, remember to check the [Research Continuity-Sponsored Awards FAQs](#) website.

1. **What are the impacts of COVID-19 on sponsored research?**
   Response: At this time, it is impossible to gauge the impacts of COVID-19 on sponsored research. If contacted by the sponsor, the PI should communicate what work has been able to be done remotely, if they anticipate needing a no-cost extension, and any other project specific information they deem important to share. It is important to highlight that the university is NOT closed, although most research has certainly slowed down.

2. **What was Lisa's guidance concerning non-SNAP carryforward requests?**
   - **Are we able to carryforward balances on all non-SNAP awards without Prior Approval?**
   - **What are the award end dates that would be included in this special guidance? For example, all installments that ended from March 01, 2020 through June 30, 2020 are eligible to carryforward balances without seeking Prior Approval.**

   Response: Yes, non-SNAP awards are allowed to carryforward balances as long as the charges are allowable and within the original scope of the project. The request should be submitted prior to the submission of the RPPR, but 'prior' approval has been suspended for projects during the time frame of March 12, 2020 – June 9, 2020.

3. **It would have been interesting to know the volume of requests that Lisa has received regarding faculty who are unable to complete their research at this time.**
   Response: To date, there have been a handful of requests that I'm aware of, however, I think the number will only increase.

4. **Regarding T32 and COVID, what specific detail should be obtained?**
   Response: The FAQs were updated on 4/28 that T32 trainees can be reassigned to clinical duties while still be charged. NIH has not issued guidance on the specific detail that should be captured, but at a minimum the documentation should include name, % increase to clinical time, confirmation that it's COVID-19 care, what training component is being impacted and if the trainee will be able to complete training.

5. **Need more information on funded extensions. When should we be putting these in, when should we be reaching out to Program Officials, what is the university recommendation for gauging losses? We understand this is premature, but our PIs are inquiring so that they can properly plan.**
   https://grants.nih.gov/faqs#/covid-19.htm?anchor=question55769

Response: At this time, it is impossible to gauge the impacts of COVID-19 on sponsored research. If contacted by the sponsor, the PI should communicate what work has been able to be done remotely, if they anticipate needing a no-cost extension, and any other project specific information they deem important to share. It is important to highlight that the university is NOT closed, although most research has certainly slowed down. NIH has not stated the process for requesting an NCE will change, however, if faculty know they will need an NCE, they can reach out to their program officer now.

6. **I have received a new award with a start date after March 17. Can salaries and benefits be charged to new awards even if no work is being performed?**
    Response: For new awards with a start date after March 17, employees who are unable to perform work directly benefitting the project cannot have their salaries and benefits charged to that project.

7. **Can I charge summer salary to my project even though research has been ramped down on campus?**
    Response: Charging summer salary to sponsored projects is only appropriate if work benefiting the project can be done remotely. Please consider if travel restrictions or restricted access to campus may limit your ability to do such work.

4 ABS FORM REMINDER

When submitting an Award Budget Setup form for a project that includes subawards, please be sure to only request the costs for the subaward (directs and indirects). Additional F&A that is assessed on the subawards should **not** be included in those costs.

5 DOJ GRANTS FINANCIAL GUIDE REVISION

The Department of Justice (DOJ) has made the following revision to the DOJ Grants Financial Guide. The change implemented below became effective April 15, 2020.

- Chapter 2.2: Acceptance of Award and Award Conditions and Award Notification and Acceptance Procedures: Revised language to allow electronic signatures on award acceptance documents and Automated Clearinghouse (ACH) forms.

The updated sections in the guide can be accessed using the link below:
https://www.ojp.gov/funding/financialguidedoj/ii-preaward-requirements#bwwfel

6 INVITATION: NSF Forum Webinar on May 14, 2020 from 1:00 – 2:30

You are strongly encouraged to participate in the National Science Foundation (NSF) Electronic Research Administration (ERA) Forum Webinar on **May 14, 2020 from 1:00 - 2:30 PM Eastern Time**. To participate in this forum, please Register Now.

The topics for this Forum webinar will cover **the new requirement beginning June 1, 2020, to use an NSF-approved format for the Biographical Sketch and Current and Pending Support sections of NSF proposals; the new Award Notice; and Research.gov Demo of Separately Submitted Collaborative Proposals from Multiple Organizations.**

On April 1, 2020, NSF announced the availability of both NSF-approved formats for the Biographical Sketch and Current and Pending Support sections of NSF proposals. NSF conducted a Webinar to discuss the policy and system implications for this new requirement. **As a reminder NSF encourages you to send questions ahead of the May 14, 2020 ERA Forum webinar to** nsferaforum@nsf.gov

For more information about the NSF ERA Forum Webinar, please visit their website at https://www.nsf.gov/bfa/dias/policy/era_forum.jsp.