

# OSP News & Updates

Office of Sponsored Projects

3/19/2020

2020 Volume 1, Issue 6

The [OSP News & Updates](#), published by the Office of Sponsored Projects, is a bi-weekly subscription-based newsletter that provides OSP and sponsor updates and reminders, quick facts, guidance and training in all aspects of sponsored projects administration. [Subscribe to OSP News & Updates](#)

## Table of Contents

1. [OSP News & Updates / OSP Newsflash](#)
2. [OSP Training](#)
3. [Notice on Policy Regarding Minimum Level of Effort for NCI-Funded Awards](#)
4. [Subrecipient Basics and Monitoring](#)
5. [NIH Late Application Policy and COVID-19](#)

## 1 OSP NEWS & UPDATES / OSP NEWSFLASH

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OSP News & Updates subscribers may experience delays in receiving our bi-weekly OSP News & Updates newsletter and see an uptick in the distribution of the OSP Newsflash, as we continue to relay information on COVID-19 and research.

**Note** [previous issues of the OSP News & Updates and OSP Newsflash](#) can be found on the OSP News page of the OSP website.

## 2 OSP TRAINING

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In accordance with University guidance, instructor-led classes have been cancelled through March 31, 2020. As we move forward through this evolving situation, we will be making decisions about future classes, and those registered for class will be notified of any changes via email.

Online training is available for many of the OSP courses on the [OSP TMS website](#). Feel free to preview class presentations for all OSP training courses on the [OSP Research Administrators training website](#).

### IRES PT Access

Anyone needing IRES Proposal Tracking (PT) access should have their Lead Administrator complete the [IRES Departmental User Access Request Form](#). PT access allows user to view IRES records. Introduction to Sponsored Projects Administration (SPA) training will not be required for PT access.

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## 3 NOTICE ON POLICY REGARDING MINIMUM LEVEL OF EFFORT FOR NCI-FUNDED AWARDS

The National Cancer Institute (NCI) released [Notice Number: NOT-CA-20-033](#), *Notice on Policy Regarding Minimum Level of Effort for NCI-Funded Awards* to describe NCI's policy on the minimum level of effort that will be a part of the funding consideration for NCI-funded grants. In addition to previously established policies for specific grant mechanisms that have been described in funding opportunity announcements (FOAs), Principal Investigators (PIs), including Project Leaders on multi-project grants, will be required to commit a minimum level of effort to be eligible for NCI funding through R01, U01, P01, and R21 grant mechanisms. Effective immediately, competing applications that do not meet the minimum criteria will not be considered for funding unless the level of effort is raised to comply with the policy. In addition, a request to reduce the PI's level of effort in subsequent years of funding will not be approved if the proposed level of effort falls below the minimum level. The minimum effort policy described in this notice will not apply when the grant is in a no-cost extension.

Unless otherwise stated in an FOA, the minimum levels of effort are as follows:

Mechanism	Role	Minimum Level of Effort in calendar months/year (%)
<b>R01/U01</b>	<b>Single PI</b>	<b>1.8 months (15%)</b>
	<b>Multi PI</b>	<b>1.2 months (10%) each</b>
<b>P01</b>	<b>PI</b>	<b>1.8 months (15%)</b>
	<b>Project Director</b>	<b>1.2 months (10%)</b>
	<b>PI and Project PI</b>	<b>3 months (25%)</b>
	<b>Core Leader</b>	<b>0.6 months (5%)</b>
<b>R21</b>	<b>PI</b>	<b>0.6 months (5%)</b>
R35 (Current Policy)	PI	6 months (50%)
P50 (Current Policy)	PI or 2 multi-PIs	2.4 months (20%) each
	2 or more Multi-PIs	1.8 months (15%) each
	Co-leads	0.6 months (5%) each
	Core Director	0.6 months (5%)
P30 (Current Policy)	PI	3 months (25%)
	Core Director	0.6 months (5%)

Requisite levels of effort for grant mechanisms not listed in this table are stipulated in the FOA.

The policy will ensure that PIs devote the appropriate effort and attention to project leadership for the duration of the grant award.

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## 4 SUBRECIPIENT BASICS AND MONITORING

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Those attending the last Subrecipient Basics and Monitoring class described the experience as “concise and informative” and benefited from a review of the business processes. Take the [online version of Subrecipient Basics and Monitoring](#) to review the following concepts:

- Understand the roles and responsibilities of individuals involved in the subaward process
- Learn how to differentiate between a subrecipient and a vendor relationship
- Gain a greater awareness and understanding of Yale's policies and procedures regarding subrecipients
- Understand the requirements and expectations for subrecipient monitoring and the processing of invoices
- Know where to find resources to assist in the subaward process

## 5 NIH LATE APPLICATION POLICY AND COVID-19

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On March 9, 2020, NIH released [Notice Number: NOT-OD-20-082](#), *NIH LATE APPLICATION POLICY Due to Public Health Emergency for United States for 2019 Novel Coronavirus (COVID-19)*.

### Late applications

When delays occur because the applicant or recipient organization is officially closed or unable to submit grant applications due to the effects of COVID-19, the NIH will consider accepting applications late, on a case-by-case basis, in accordance with the NIH Grants Policy Statement, [Section 2.3.9](#), under the following circumstances:

- Institutions must submit applications or reports as soon as possible after reopening or resuming operations so that grant applications can be submitted, not to exceed the number of days the institution was officially closed or unable to submit grant applications.
- Institutions must submit a cover letter with the applications with enough detail about the delay so that NIH staff can make a determination whether circumstances justify accepting the application late.
- Institutions need not request advance permission to submit late due to this declared emergency.

NIH will be issuing additional guidance related to this public health emergency in the near future.

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<sup>i</sup> Thank you to all who have contributed to this newsletter. Please direct questions or suggestions regarding newsletter content to Tracy Coston at [osp.communications@yale.edu](mailto:osp.communications@yale.edu) or [tracy.coston@yale.edu](mailto:tracy.coston@yale.edu). Use the following link to [unsubscribe to OSP News & Updates](#). For archived issues, visit [OSP News & Updates archives](#).