**Restricted Party Screening**

A **“Restricted Party”** is an individual, company, or organization, foreign or domestic, whose U.S. export or other privileges have been blocked, restricted, or revoked. Several United States governmental agencies have developed lists of Restricted Parties with whom Yale University is prohibited from entering into transactions. Some specific examples include:

* OFAC’s Specially Designated National’s List: individuals or entities working on behalf of sanctioned / embargoed countries or involved in terrorist / drug trafficking activities.
* EAR’s Denied Person’s List: Individuals or entities denied export privileges.

Yale has an agreement with **Visual Compliance** (eCustoms), a web-based tool that allows exporters to easily perform searches of the lists identifying Restricted Parties (“Restricted Party Screening”). Using Visual Compliance, Yale can screen entities and individuals against the mandatory restricted and denied person lists. Visual Compliance not only screens against all lists maintained by the U.S. government, but also includes lists maintained by allies (EU, Japan), world organizations (U.N., World Bank) and law enforcement agencies (Interpol; FBI; Scotland Yard, etc.). By using Visual Compliance, Yale can ensure that it will not conduct prohibited business dealings, financial transactions or have interactions with Restricted Parties.

Yale University community members who have a need to perform a Restricted Party Screening can contact the Director, Export Controls to have a screening done. Yale University staff with an ongoing need to perform Restricted Party Screenings should inquire about having a Visual Compliance account assigned to them to allow them to conduct Restricted Party Screenings.

By conducting such Screening, Yale ensures, by way of example, that, with respect to Restricted Parties, it is:

* Not making prohibited payments;
* Avoiding entering into agreements or research collaborations with individuals or entities that are placed on any U.S Restricted Parties list;
* Arranging for appropriate visitors and honoraria and travel reimbursements;
* Not shipping anything (excluding documents) to another country;
* Not hiring or paying compensation;
* Not providing services.