

OSP News & Updates

Office of Sponsored Projects

1/13/2022

2022 Volume 1, Issue 1

The [OSP News & Updates](#), published by the Office of Sponsored Projects, is a bi-weekly subscription-based newsletter that provides OSP and sponsor updates and reminders, quick facts, guidance and training in all aspects of sponsored projects administration. [Subscribe to OSP News & Updates](#)

Table of Contents

1. [OSP Special Seminar: Other Support \(1/14/22\)](#)
2. [NIH Publication of Revised Grants Policy Statement for Fiscal Year 2022](#)
3. [NIH Post Submission Material Policy – Extension to Special Exception](#)
4. [NIH Clarification and Guidance for Applicants Preparing for the Spring 2022 Due Dates During the COVID-19 Pandemic](#)
5. [Updated NSF Pre-Award and Post-Award Disclosure Table](#)
6. [New Online Training: Effort Reporting Principles](#)
7. [OSP Training](#)

1 OSP SPECIAL SEMINAR: OTHER SUPPORT (1/14/22)

UPDATE: In addition to providing an overview of the federal requirements for commitment transparency with an emphasis on the NIH Other Support document, the agenda for tomorrow's Other Support seminar has been updated to include a demonstration of running the Other Support report, editing the Other Support form, the signature process, and the third-party agreement library.

Thank you to all who provided feedback and helped to improve this session!

Invitations for the seminar were sent on January 11, 2021. Feel free to forward your invite to any interested colleague. If you need additional information email osp.trainings@yale.edu.

2 NIH PUBLICATION OF REVISED GRANTS POLICY STATEMENT FOR FISCAL YEAR 2022

The National Institutes of Health (NIH) has announced the publication of their updated Grants Policy Statement (GPS), revised December 2021, [NOT-OD-22-050](#). The GPS provides both up-to-date policy guidance that serves as NIH standard terms and conditions of award for all NIH grants and cooperative agreements, and extensive guidance to those who are interested in pursuing NIH grants.

OSP News & Updates

Office of Sponsored Projects

1/13/2022

2022 Volume 1, Issue 1

This update is applicable to all NIH grants and cooperative agreements with budget periods beginning on or after October 1, 2021. This update supersedes, in its entirety, the NIHGPS dated April 2021. Previous versions of the GPS remain applicable as standard terms and conditions of award for all NIH grants and cooperative agreements with budget periods that began prior to October 1, 2021.

The current version of the GPS, in both HTML and PDF formats, as well as previous versions and documents summarizing significant changes implemented with each revision, are available [here](#).

3 NIH POST SUBMISSION MATERIAL POLICY – EXTENSION TO SPECIAL EXCEPTION

The National Institutes of Health is extending the special exception for post-submission material to applications submitted for the August/October 2022 Council rounds.

For applications submitted for the August/October 2022 Council rounds (beginning with applications submitted for the January 25, 2022 due date), the NIH, AHRQ, and NIOSH will accept a one-page update with preliminary data as post-submission materials for applications submitted under all activity codes, ONLY if the Funding Opportunity Announcement (FOA) used for submission allowed preliminary data in the application. One page of preliminary data will be accepted for single component applications or for each component of a multi-component application.

The deadline for submitting all post-submission materials, including preliminary data, will be 30 days before the study section meeting, unless specified otherwise in the FOA. Because applications for emergency competitive revisions and urgent competitive revisions undergo expedited review, post-submission materials will not be accepted for those applications.

All other materials listed in [NOT-OD-19-083](#) as acceptable post-submission materials will continue to be accepted if submitted 30 days before the study section meeting. Instructions for submission are included in [NOT-OD-19-083](#).

For further information: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-22-047.html>

4 NIH CLARIFICATION AND GUIDANCE FOR APPLICANTS PREPARING FOR THE SPRING 2022 DUE DATES DURING THE COVID-19 PANDEMIC

The National Institutes of Health has previously identified short-term administrative flexibilities to assist applicants with managing administrative, financial management and audit requirements under the

OSP News & Updates

Office of Sponsored Projects

1/13/2022

2022 Volume 1, Issue 1

Uniform Guidance “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards” – which are implemented in HHS regulation at [45 CFR Part 75](#) – without compromising their accountability requirements.

These flexibilities are applicable to NIH applicants and recipients where the entity is conducting research activities related to or affected by COVID-19. Affected entities are those that have been closed, or business activities have been hindered due to COVID-19 precautionary measures and/or illnesses. Entities that are affected will be asked to provide documentation to NIH describing the effects, and how long their facility and NIH related research, clinical practices, or instruction was and/or will be affected.

NIH is now extending the guidance provided earlier ([NOT-OD-21-180](#)) for applicants preparing applications for the August/October 2022 Council round, beginning with the January 25, 2022 due date.

Reviewers will continue to receive instructions to assume that constraints arising from the COVID-19 pandemic (e.g., lab occupancy restrictions, declines in patient accrual, etc.) will be resolved during the project period and thus should not affect their scores.

Therefore, NIH grant applications should NOT include contingency or recovery plans for problems resulting from the COVID-19 pandemic. However, applicants may address effects due to the pandemic on productivity or other scoreable issues in their Personal Statement in the NIH Biosketch.

For further information, refer to [NOT-OD-22-046](#).

5 UPDATED NSF PRE-AWARD AND POST-AWARD DISCLOSURE TABLE

An updated version of the table entitled, [NSF Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support](#) has been updated by the National Science Foundation. The updated table, dated January 10, 2022, includes information regarding start-up packages provided by proposing organizations as well as outside organizations.

6 NEW ONLINE TRAINING: EFFORT REPORTING PRINCIPLES

[Effort Reporting Principles training](#) is now available as a self-paced online training course in TMS. This course provides administrators with a comprehensive understanding of both the effort lifecycle, and documenting activities related to sponsored awards. As with the instructor-led version of this class, an online quiz is required for successful completion of this course.

Instructor-led classes will still be held twice a year, January and June, to coincide with the semi-annual effort release.

OSP News & Updates

Office of Sponsored Projects

1/13/2022

2022 Volume 1, Issue 1

The instructor-led class includes an additional module: *Workday Effort Certification*, which provides an overview of the effort certification process in Workday including administrative review and certification. For online users, the [Workday Effort Certification class presentation](#) can be accessed on the [OSP Research Administrator Training website](#) in the Effort Reporting Principles section.

7 OSP TRAINING

Visit the [OSP Grants and Contract TMS training website](#) for a description all OSP classes or to register for a class (*VPN required*). Registrations for instructor-led classes close several days prior to class. Until further notice, all instructor-led classes will be held via Zoom. Zoom links and class handouts are emailed to registered students prior to class.

Instructor-Led Training (ILT): Upcoming Classes

- January 26: Proposal Development (IRES PD)
- January 20: Effort Reporting Principles
- February 3: Financial Reporting and Closeout
- February 8: Research Compliance Principles for Administrators
- February 21: Introduction to Sponsored Projects Administration

Online self-paced learning

The following OSP training courses are available online. If after completing the course, you have any questions about the course content email osp.trainings@yale.edu and we will forward your question to the appropriate subject matter expert.

- Allocating Allowable Costs - Online
- Clinical Trials: Create a Clinical Trial Record in PD
- Cost Transfer Principles - Online *Revised*
- Direct Charging of F&A Type Costs on Sponsored Awards - Online
- Effort Reporting Principles – Online *New*
- Export Compliance (CITI Program) - Online
- IRES Proposal Tracking (PT) Overview - Online
- NIH K Award Fundamentals - Online *New*
- Subrecipient Basics and Monitoring - Online
- What Research Staff Need to Know About Spending Sponsored Projects Funds - Online

NCURA Webinar Videos

OSP News & Updates

Office of Sponsored Projects

1/13/2022

2022 Volume 1, Issue 1

View videos of recent NCURA (National Council of University Research Administrators) webinars by visiting the [OSP Research Administrator Training website](#).

- **Audits: What's Hot**

This webinar provides an in-depth look at audit issues is provided through a combination of audit trends, a review of Federal audit reports, and a discussion of day-to-day best practices.

- **Cost Share: Tackling the Challenges**

This webinar discusses how cost share challenges are tackled throughout the life of the project. Case studies are used to demonstrate how to proactively manage cost share.

- **In-N-Out: Here's What PI Transfers are all About**

This webinar takes an in-depth look at the PI transfer process and provides you with questions to ask and the things to look out for in order to be prepared to handle a PI that is either coming in or leaving from their institution.

- **Participant Support Costs: An Overview of Dos and Don'ts**

This webinar will help you understand what participant support costs are and why they are special. Discussions include: the rules and regulations governing participant support costs; how to budget, set up, and manage participant support costs; and audit considerations.

- **Coming in January: Work Smarter, Not Harder: The Tools, Methods and Technology to Increase Productivity!**

This webinar will review the history and theory of "Work Smarter Not Harder" through examination of related research administration case studies; discuss methods of organizing work to be more effective, efficient, and productive; and review tools and tricks that increase productivity and save time.

- **Coming in February: Guidance on the Preparation of NIH Research Performance Progress Report (RPPRs) with COVID-19 Impacts and Other Support**

The NIH issued guidance regarding the preparation of Research Performance Progress Reports (RPPRs) and the reporting of effects of the COVID-19 pandemic on research projects and revised their definition of Other Support. This session will review who must report, when to report, what to report and how to report.

¹ Thank you to all who have contributed to this newsletter. Please direct questions or suggestions regarding newsletter content to Tracy Coston at osp.communications@yale.edu or tracy.coston@yale.edu. Use the following link to [unsubscribe to OSP News & Updates](#). For archived issues, visit [OSP News & Updates archives](#).