

# OSP News & Updates

Office of Sponsored Projects

4/15/2021

2021 Volume 2, Issue 2

The [OSP News & Updates](#), published by the Office of Sponsored Projects, is a bi-weekly subscription-based newsletter that provides OSP and sponsor updates and reminders, quick facts, guidance and training in all aspects of sponsored projects administration. [Subscribe to OSP News & Updates](#)<sup>i</sup>

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## 1 NEW FEDERAL FRINGE BENEFIT RATES

Yale University's new fringe rates were issued by the Division of Cost Allocation (DCA) on behalf of the Department of Health and Human Services (DHHS). The [updated Rate Agreement dated March 12, 2021](#) is available on the OSP Resources website. The numbers below reflect the recently negotiated fringe benefit rates. These rates go into effect July 1, 2021 (until amended) and should be reflected in all proposals.

APPLICABLE TO TYPE	RATES EFFECTIVE 7/1/20 - 6/30/21 FIXED	RATES EFFECTIVE 7/1/21-6/30/22 FIXED	RATES EFFECTIVE 7/1/22 - UNTIL AMENDED PROVISIONAL
Exempt	29.50%	28.80%	28.80%
Non-Exempt	72.40%	74.70%	74.70%
Part-Time	8.60%	8.80%	8.80%

Note: The F&A rates indicated the Rate Agreement dated June 26, 2020 have not changed and are still in effect.

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## Proposal Development (PD) Impact

The PD module in IRES will be updated by COB Friday, 4/16/21 to reflect the new federally negotiated fringe benefits rates.

Please follow the steps outlined in the [PD Quick Guide – How Do I Create and Edit Budget](#) (in the Updating Fringe Benefits section, page 42) to update the fringe benefit rates. Note that fringe benefit rates will not be updated unless the steps outlined in the Quick Guide are followed.

The change in fringe benefit rates will not impact budgets that have already been submitted. *PD budgets that have already been submitted to external sponsors cannot be updated at this time.*

If you have any questions, please contact your OSP Proposal Manager.

## 2 UPDATE TO THE EUROPEAN UNION OPEN SKIES AGREEMENT

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As of January 1, 2021, The United Kingdom (U.K.) is no longer a member of the EU. Consequently, the Open Skies Agreement with the EU does not pertain to the U.K. Travelers must now use a U.S. Flag Carrier to travel from the U.S. to the U.K. and not a U.K. airline (e.g., British Airways), unless another Fly America Act exception is applicable.

Travelers may continue to use an EU agreement for travel from the U.S. to the U.K. as long as the flight stops in the EU prior to arrival in the U.S. or the U.K.

Questions regarding this change can be directed to John Maloney at [John.Maloney@yale.edu](mailto:John.Maloney@yale.edu).

## 3 NCURA CAMPUS LIAISON PROGRAM

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Following is a link to the [April 2021 NCURA Campus Liaison newsletter](#) with information on upcoming NCURA events, resources, and content.

If you have any questions about NCURA, please feel free to contact [lisa.mosley@yale.edu](mailto:lisa.mosley@yale.edu).

## 4 NEW FEATURE ADDED TO BROWN BAG ANNOUNCEMENT EMAILS

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Emails announcing the monthly OSP Brown Bag meetings are sent before every meeting, once approximately 3-weeks prior, and a second just a few days before the event. These emails will now contain a link that will let you automatically add the event to your Outlook calendar.

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To add the BB event to your calendar, click the 'Save this event to your calendar' link in the BB email to download the event (.ics file) to your computer then double-click the .ics file to open the calendar event. Save and Close the event as you would for any Outlook appointment and the event it will be added to your Outlook calendar along with the Zoom link and meeting information.

## 5 K AWARD TRAINING

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The pilot session for the CCTC's\* new *NIH K Award Fundamentals* training class was held on March 29<sup>th</sup> and was co-taught by Eryln Neri, YSM Business Operations Support and Training Manager and Amy Ellis, OSP Director of Proposals and Award Management. This new training opportunity was created to provide department research administrators with an additional resource for understanding and managing the unique set of requirements that can make K awards a challenge to manage.

Overall, the after-class survey results were positive and included the following comments:

- The graphs and visual diagrams with most helpful when explaining complex scenarios.
- The links to additional K award information and resources will be very helpful.
- I feel I would be able to use this training to better manage a K award in the future.

Class participants had agreed to take a lengthy survey - thank you to those who took the time to complete the survey and provide constructive feedback! We are in the process of finalizing the class presentation and we expect to announce dates for future K Award training sessions in May.

*\*The CCTC (Campus-wide Collaborative Training Committee) was created for the purpose of identifying department-level training needs and providing solutions.*

## 6 TRAINING MATERIALS UPDATED

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The following training materials have been updated. These guides were created for Research Administrators as a tool to assist with managing sponsored awards.

- Found on the [Workday@Yale Sponsored Awards training website](#):
  - [Award Closeout Activities Quick Guide](#)  
This guide includes a list of award closeout activities and reports
  - [Award Closeout Timeline](#)  
This guide can be used as a reference when preparing for and executing the closeout of a sponsored award and includes list of major closeout tasks, reports to assist with closeout tasks and a timeframe for each.

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- Found on the [OSP Resources website](#):
  - [K Award Post Award Checklist](#)

## 7 AHA – CARRYOVER AND NO COST EXTENSION UPDATES AND REMINDERS

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**Carryover** – The American Heart Association (AHA) allows an investigator to carryover up to \$20,000 without a written explanation. For amounts over \$20,000, the investigator must provide strong written justification, indicating the reason the funds were not spent and the investigator's plan for spending those funds the following year if the carryover is approved. Each request will be reviewed on a case-by-case basis. The expectation is that the approved carryover plus the next year's budget will be fully expended in the next budget period. The investigator should not intentionally conserve funds to request a no-cost extension. If the funds are not expended during the period, the AHA may request a return of the unexpended balance for that budget period. Award funds must be used for expenses directly related to the approved AHA research project.

If an investigator is experiencing an issue(s) that is stalling or slowing progress on the project, they should include this information in their carryover justification. It is important that investigators meet project milestones and have a plan to manage the work to be completed on the approved project.

Our grants management system, ProposalCentral, does not have a mechanism for investigators/fiscal officers to indicate how they wish to handle an unspent balance. As a result, the investigator/institutional officer may be asked to indicate their plan for the remaining balance (e.g., do you plan to retain the balance or return it). The investigator/fiscal officer can send the staff a message using the Send Correspondence feature in ProposalCentral or email [awards@heart.org](mailto:awards@heart.org).

**No Cost Extension (NCE)** – The AHA will no longer accept no cost extension requests for balances under \$5,000. If the award has a remaining balance of \$5,000 or less, the balance should be returned to the AHA. If the final payment has not been paid, this amount may be deducted before the AHA releases the payment to cover the reported expenses.

For Strategic Network awards, refer to the updated Award Guide relating to requirements on threshold amounts when requesting carryover or no-cost extension.

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## 8 OSP TRAINING

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### Instructor-Led Training (ILT): Upcoming Classes

[Registration](#) is open for the following OSP Zoom classes. Use the links below to view course descriptions and register for a class (VPN required).

- May 12: [Effort Reporting Principles](#)
- May 24, 25, 26, 27: [Introduction to Sponsored Projects Administration](#)

### Online self-paced learning

The following OSP training courses are available online. If you have any questions after completing the course email [osp.trainings@yale.edu](mailto:osp.trainings@yale.edu) and we will forward your question to the appropriate subject matter expert.

- [Allocating Allowable Costs - Online](#)
- [Cost Transfer Principles - Online](#) - this course is in the process of being updated to reflect recent University policy changes. We will let you know when this course is back online in an upcoming OSP News & Updates email.
- [Direct Charging of F&A Type Costs on Sponsored Awards - Online](#)
- [Export Compliance \(CITI Program\) - Online](#)
- [IRES Proposal Tracking \(PT\) Overview - Online](#)
- [Subrecipient Basics and Monitoring - Online](#)
- [What Research Staff Need to Know About Spending Sponsored Projects Funds - Online](#)

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<sup>1</sup> Thank you to all who have contributed to this newsletter. Please direct questions or suggestions regarding newsletter content to Tracy Coston at [osp.communications@yale.edu](mailto:osp.communications@yale.edu) or [tracy.coston@yale.edu](mailto:tracy.coston@yale.edu). Use the following link to [unsubscribe to OSP News & Updates](#). For archived issues, visit [OSP News & Updates archives](#).