

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

The [OSP News & Updates](#), published by the Office of Sponsored Projects, is a bi-weekly subscription-based newsletter that provides OSP and sponsor updates and reminders, quick facts, guidance and training in all aspects of sponsored projects administration. [Subscribe to OSP News & Updates](#)<sup>i</sup>

## Table of Contents

1. [NIH June R01 Proposal Deadline Activities and Reminders](#)
2. [Updated RPPR Instruction Guide Available](#)
3. [Revised ABS Form](#)
4. [NIH/AHRQ/NIOSH Post Submission Policy Extension](#)
5. [Health/Dental Reimbursement \(SC081\)](#)
6. [May 2022 NCURA Campus Liaison Newsletter](#)
7. [New Fringe Rates](#)
8. [NCURA Webinar: ORCID and the OTSP Guidance on NSPM-33](#)
9. [OSP Training](#)

## 1 NIH JUNE R01 PROPOSAL DEADLINE ACTIVITIES AND REMINDERS

---

In preparation for the NIH R01 deadline on Monday, June 6<sup>th</sup>, please note the following:

### Friday, June 3<sup>rd</sup> Proposal Review and Submission Activities

The Proposal Team will:

- review proposals in the order received.
- approve and submit (if no changes are required) or return the proposal for corrections, all proposals received by OSP before 2:00 p.m. on June 3, 2022. Proposals received after 2:00 p.m. may not be reviewed until Monday, June 6, 2022.

### June 6<sup>th</sup> Proposal Review and Submission Activities

- Proposals will continue to be reviewed in the order received. Please ensure that proposals are submitted to OSP as early as possible to allow sufficient time to resolve issues (including system issues) and make corrections. Proposals *will not be reviewed out of order for any reason*.
- If a last-minute submission is expected, let your OSP Proposal Manager know as soon as possible.

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

## Satisfying Compliance Requirements and Other Reminders

- If there is effort/salary for personnel from departments outside of the responsible cost center, that Department Business Office must be included in the PD route to review and approve the effort in the proposal.
- All PIs/PDs (PI, Multiple PIs,) of an application must complete Sponsored Projects Administration Training for Faculty. The training and quiz can be accessed by clicking on the following link: [https://bmsweb.med.yale.edu/tms/tms\\_enrollments.offerings?p\\_crs\\_id=1073&p\\_std\\_id=#](https://bmsweb.med.yale.edu/tms/tms_enrollments.offerings?p_crs_id=1073&p_std_id=#)
- The PI and other Yale proposal personnel must complete, prior to submitting the proposal to the sponsor, the following:
  - [Patent Policy Acknowledgement and Agreement](#) (all Yale individuals listed in the proposal)
  - Current [External Interests Disclosure form](#) (only individuals identified in the proposal as being responsible for the design, conduct or reporting of the research)
  - Current [VA MOU](#), if applicable
- The following resources may be of assistance in the preparation of a proposal:
  - Yale Frequently Needed Facts <https://your.yale.edu/research-support/office-sponsored-projects/resources/frequently-needed-yale-facts>
  - NIH Page Limits [http://www.grants.nih.gov/grants/forms\\_page\\_limits.htm](http://www.grants.nih.gov/grants/forms_page_limits.htm)

On behalf of the OSP Proposal Team, we appreciate your cooperation and collaboration in facilitating the timely and accurate submission of proposals.

## 2 UPDATED RPPR INSTRUCTION GUIDE AVAILABLE

---

From the NIH Extramural Nexus:

An updated [RPPR Instruction Guide](#) is now available. The instruction guide reflects changes associated with recently issued guide notices on Other Support and Biosketch format pages, human subjects, Trainee Diversity Report and NRSA childcare costs reporting (see [NOT-OD-22-130](#) for details). Note that a final RPPR Instruction Guide will be published on June 23 to reflect the revised screenshots accompanying the new look and feel of the RPPR module. <https://nexus.od.nih.gov/all/2022/05/18/hot-off-the-presses-updated-rppr-instruction-guide-available/> See also:

**Action Required for In-Progress RPPR Budget Forms By or Before June 22 (NIH Extramural Nexus)**

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

<https://nexus.od.nih.gov/all/2022/05/18/action-required-for-in-progress-rppr-budget-forms-by-or-before-june-22/>

## 3 REVISED ABS FORM

---

As announced at yesterday's Brown Bag, the Award Budget Setup (ABS) form has been updated to include the following change:

- Column labeled 'Grant PI NetID' has been renamed to 'Assignee (Grant PI NetID)', for clarification purposes.

The updated ABS Form is available on the [OSP Forms webpage](#).

## 4 NIH/AHRQ/NIOSH POST SUBMISSION POLICY EXTENSION

---

Released April 25, 2022, the National Institutes of Health (NIH) has extended the special exception for post-submission material to applications submitted for the January 2023 Council rounds.

For applications submitted for the January 2023 Council rounds (beginning with applications submitted for the May 25, 2022 due date), the NIH, AHRQ, and NIOSH will accept a one-page update with preliminary data as post-submission materials for applications submitted under all activity codes, ONLY if the Funding Opportunity Announcement (FOA) used for submission allowed preliminary data in the application. One page of preliminary data will be accepted for single component applications or for each component of a multi-component application.

The deadline for submitting all post-submission materials, including preliminary data, will be 30 days before the study section meeting, unless specified otherwise in the FOA. Because applications for emergency competitive revisions and urgent competitive revisions undergo expedited review, post-submission materials will not be accepted for those applications.

All other materials listed in [NOT-OD-19-083](#) as acceptable post-submission materials will continue to be accepted if submitted 30 days before the study section meeting.

Further information is available at [NOD-OD-22-113](#).

## 5 HEALTH/DENTAL REIMBURSEMENT (SC081)

---

Effective July 1, 2022, spend category Health/Dental Reimbursement (SC081) will no longer assess Indirect Costs when it is charged and posted on T32 Training grants. You may see a combination of SC081

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

transactions with and without the Indirect Cost assessments as the fix only applies to posted transactions beginning 7/1/22. For those awards that are active and have SC081 charges prior to 7/1/22 with indirect cost assessments, please note that Sponsored Projects Financial Administration (SPFA) will reprocess those awards at time of filing the Annual/Final FFR to ensure all indirect cost assessments are removed. Any questions can be directed to your SPPA Accountant.

## 6 MAY 2022 NCURA CAMPUS LIAISON NEWSLETTER

[View the May 2022 NCURA Campus Liaison Newsletter](#) with information regarding upcoming NCURA events, resources, and content.

## 7 NEW FRINGE RATES

From May 10<sup>th</sup> OSP Newsflash:

### New Federal and Non-Federal Fringe Benefit Rates

Yale University's new Federal fringe rates were issued by the Division of Cost Allocation (DCA) on behalf of the Department of Health and Human Services (DHHS). The [updated Rate Agreement dated March 21, 2022](#) will be available on the [OSP Resources webpage](#) shortly. The numbers below reflect the recently negotiated fringe benefit rates. These rates go into effect July 1, 2022 (until amended) and should be reflected in all proposals.

APPLICABLE TO TYPE	RATES EFFECTIVE 7/1/21-6/30/22 FIXED	RATES EFFECTIVE 7/1/22-6/30/23 FIXED	RATES EFFECTIVE 7/1/23-UNTIL AMENDED PROVISIONAL
Exempt	28.80%	30.30%	30.30%
Non-Exempt	74.70%	73.00%	73.00%
Part-Time	8.80%	9.50%	9.50%

The Controller's Office has updated their website to reflect new FY23 [Non-Federal fringe benefit rates](#), which are in alignment with the Federal rates.

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

Note: The F&A rates in the previous Rate Agreement dated March 12, 2021, have not been changed and are still in effect.

## **Proposal Development (PD) Impact**

The PD module in IRES has now been updated to reflect the newly negotiated fringe benefits rates, both Federal and Non-Federal.

For records with a status of 'In Development' and/or 'In Review' created prior to the system update, please follow the steps outlined in the [PD Quick Guide – How Do I Create and Edit Budget](#) (in the Updating Fringe Benefits section, page 42) to update the fringe benefit rates. Note that fringe benefit rates will not be updated unless the steps outlined in the Quick Guide are followed.

The change in fringe benefit rates will not impact budgets that have already been submitted. *PD budgets that have already been submitted to external sponsors cannot be updated at this time.* If you have any questions, please contact your [OSP Proposal Manager](#).

## 8 NCURA WEBINAR: ORCID AND THE OTSP GUIDANCE ON NSPM-33

---

### *Share this event – useful information for Faculty and Researchers!*

In January 2022, OSTP issued NSPM-33 implementation guidance for federal agencies. The guidance refers to the use of DPIs, or Digital Persistent Identifiers. This webinar will be an educational session on DPIs – what they are, how they work, and how they can be an important part of a researcher's workflow.

This session will cover information about ORCID as currently the only DPI that meets the requirements listed in the OSTP guidance.

- To attend this live event:  
[Register](#) to attend this free, live event on May 26, 2022, at 2:00pm EDT. If you are not already an NCURA member, it's likely you'll need to [create a free NCURA account](#) (you must use your yale.edu email to create your NCURA account).

A video of this webinar will be posted on the [OSP Research Administrator Training](#) website approximately 7-14 days after the event.

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

## 9 OSP TRAINING

---

Visit the [OSP Grants and Contract TMS training website](#) for a description all OSP classes or to register for a class (*VPN required*).

### **Instructor-Led Training (ILT): Upcoming Classes**

Until further notice, all instructor-led classes will be held via Zoom. Zoom links and class handouts are emailed to registered students prior to class.

Registrations for instructor-led classes close several days prior to class to allow time for class setup.

- May 24: Financial Reporting and Closeout
- June 8: Proposal Development (IRES PD)
- June 22: Effort Reporting Principles
- June 27: Introduction to Sponsored Projects Administration
- July 28: Fly America Act and Open Skies Agreement
- To be scheduled soon: Research Compliance Principles for Administrators

### **Online Self-paced Learning**

The following OSP training courses are available online. If after completing the course, you have any questions about the course content email [osp.trainings@yale.edu](mailto:osp.trainings@yale.edu) and we will forward your question to the appropriate subject matter expert.

- Allocating Allowable Costs - Online
- Clinical Trials: Create a Clinical Trial Record in PD - Online
- Cost Transfer Principles - Online
- Direct Charging of F&A Type Costs on Sponsored Awards - Online
- Effort Reporting Principles – Online
- Export Compliance (CITI Program) - Online
- IRES Proposal Tracking (PT) Overview - Online
- NIH K Award Fundamentals - Online
- Other Support and Third-Party Agreements Library - Online
- Subrecipient Basics and Monitoring - Online
- What Research Staff Need to Know About Spending Sponsored Projects Funds - Online

### **NCURA Webinar Videos**

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

View videos of recent NCURA (National Council of University Research Administrators) webinars by visiting the [OSP Research Administrator Training website](#).

- **Agency Updates with OMB, NSF and NIH**

This session is comprised of three sections: 1) OMB Update, 2) NSF Update, 3) NIH Update. Discussions will include new developments related to proposal and award policy; a comprehensive review of recent policy changes; a review of major updates to NSR's proposal system modernization efforts; NIH's budget priorities; compliance requirements and more!

- **Audits: What's Hot**

This webinar provides an in-depth look at audit issues is provided through a combination of audit trends, a review of Federal audit reports, and a discussion of day-to-day best practices.

- **Cost Share: Tackling the Challenges**

This webinar discusses how cost share challenges are tackled throughout the life of the project. Case studies are used to demonstrate how to proactively manage cost share.

- **Guidance on the Preparation of NIH Research Performance Progress Report (RPPRs) with COVID-19 Impacts and Other Support**

The NIH issued guidance regarding the preparation of Research Performance Progress Reports (RPPRs) and the reporting of effects of the COVID-19 pandemic on research projects and revised their definition of Other Support. This session will review who must report, when to report, what to report and how to report.

- **In-N-Out: Here's What PI Transfers are all About**

This webinar takes an in-depth look at the PI transfer process and provides you with questions to ask and the things to look out for in order to be prepared to handle a PI that is either coming in or leaving from their institution.

- **Participant Support Costs: An Overview of Dos and Don'ts**

This webinar will help you understand what participant support costs are and why they are special. Discussions include: the rules and regulations governing participant support costs; how to budget, set up, and manage participant support costs; and audit considerations.

- **Work Smarter, Not Harder: The Tools, Methods and Technology to Increase Productivity!**

This webinar will review the history and theory of "Work Smarter Not Harder" through examination of related research administration case studies; discuss methods of organizing work to be more effective, efficient, and productive; and review tools and tricks that increase productivity and save time.

# OSP News & Updates

Office of Sponsored Projects

5/19/2022

2022 Volume 2, Issue 4

<sup>1</sup> Thank you to all who have contributed to this newsletter. Please direct questions or suggestions regarding newsletter content to Tracy Coston at [osp.communications@yale.edu](mailto:osp.communications@yale.edu) or [tracy.coston@yale.edu](mailto:tracy.coston@yale.edu). Use the following link to [unsubscribe to OSP News & Updates](#). For archived issues, visit [OSP News & Updates archives](#).

---