The OSP News & Updates, published by the Office of Sponsored Projects, provides OSP updates, quick facts, sponsor/agency updates, guidance and training in all aspects of sponsored projects administration for faculty and department business offices. Please click here for archives. To subscribe, please go to: https://messages.yale.edu/subscribe.

1 FY18 University Non-Federal Fringe Rates

The FY18 University fringe rates (non-federal) have been published and will be updated in IRES by the end of this week. As posted on the Controller’s web site, they are as follows:

<table>
<thead>
<tr>
<th>Fringe Code</th>
<th>University General Appropriations and Other</th>
<th>Grants &amp; Contracts</th>
<th>Yale School of Medicine</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaried</td>
<td>30.0%</td>
<td>32.3%</td>
<td>*40.6%</td>
</tr>
<tr>
<td>Hourly</td>
<td>65.6%</td>
<td>61.7%</td>
<td>65.6%</td>
</tr>
<tr>
<td>Part-time</td>
<td>8.6%</td>
<td>7.6%</td>
<td>8.6%</td>
</tr>
</tbody>
</table>

* Total amount – YSM supplemental fringe and sabbatical components to be charged separately

Please note that the categories have changed from previous years. There are now three instead of four categories. The fringe rates in IRES will appear in the fringe drop-down exactly as shown above. For questions, please contact your OSP proposal manager.

2 NSF.gov, FastLane, and Research.gov Unavailable Beginning 8PM June 30th Through 6PM July 4th

The National Science Foundation (NSF) is moving its headquarters to Alexandria, Virginia. The website, FastLane, and Research.gov will be unavailable from Friday, June 30 at 8:00 PM EDT until Tuesday, July 4 at 6:00 PM EDT during which time the IT servers will migrate to the new location. During this outage period, there will be no access to these websites, proposals cannot be submitted in FastLane, and project reports and cash requests cannot be submitted in Research.gov. However, previously saved information and uploaded documents in FastLane and Research.gov, including in-process proposals and reports, will be accessible after the Data Center move.

For additional information about NSF’s relocation, please see https://www.nsf.gov/pubs/issuances/in139.jsp.
3 Issued Patent Citations Will Be Accepted as Post-Submission Application Materials

The National Institutes of Health (NIH), Agency for Healthcare Research and Quality (AHRQ), and National Institute for Occupational Safety and Health (NIOSH) recently updated its policy for what materials will be accepted as post-submission application materials. Beginning with applications submitted for due dates on or after September 25, 2017, citations of newly issued patents can be included in post-submission materials.

The NIH post-submission materials policy allows grant applications to submit limited information arising from unforeseen events that occur after submission of an application but prior to initial peer review. The updated policy adds citations of issued patents to the list of acceptable post-submission materials, unless specified in the Funding Opportunity Announcement for which the application was submitted or in a special Guide Notice.

Read the NIH Guide Notice NOT-OD-17-066 for additional detail on citing issued patents in post-submission materials.

More information regarding NIH’s post-submission policy and allowable materials can be found in a recent podcast.

4 ERA Enhancements: Prior Approval Includes Carryover Option Effective June 8th

The ability to electronically submit a carryover request to NIH is now available through the Prior Approval module in eRA Commons which was released on Thursday, June 8, 2017. Carryover allows leftover, unobligated grantee funds to be carried over from one budget year to another, and may require permission be obtained from the awarding IC.

The Prior Approval module currently supports the electronic submission of the following requests:

- Withdrawal of an application
- $500K or more in direct costs
- Change of PD/PI
- No Cost Extension requiring Prior Approval
It is important to note that these features are optional and currently apply only to NIH awards. You can learn more about these electronic submission options by reviewing the tutorials found at: https://era.nih.gov/era_training/era_videos.cfm#eracommons.

Features:

• **Carryover Request**
  Only a Signing Official (SO) will be able to initiate the carryover request.

  o **When is a grant eligible for a carryover request?**
    • The grant does not have expanded authority.
    • The Project period has not ended or a no-cost extension (NCE) request has been submitted by the institution requesting additional time.
    • The grant is not in closeout.
    • The grant has not been closed.

  o **What information will an SO need to provide for a carryover request?**
    • The carryover request form requires:
      • Amount of funds to be carried over
      • Explanation of unobligated balance
      • Detailed Budget
      • Scientific Justification

The high level steps in the Prior Approval process are as follows:

• A list of eligible grants will be displayed
• The SO will pick a grant and initiate the request
• If there is an overdue Federal Financial Report for that grant, the system will issue a warning. The SO can choose to ignore the warning and continue. However, the FFR will have to be submitted before the carryover can be approved by the funding agency.

**Note:** If the SO wants to initiate a no cost extension request in tandem with the carryover request (and it is within 90 days of the project period end date), a button will allow the SO to do so without searching for the grant again.
Any clarifications and communication between the SO and the program official will occur outside of the system.

Please note that the subsequent review and approval process remains the same.

Following the release, please look for details and screenshots in the eRA Commons Online Help. The video tutorial for the Prior Approval Carryover Request can be found at: https://era.nih.gov/era_training/era_videos.cfm#carryover

### 5 NIH Offers New Tutorials on Preparing and Submitting NIH Grant Applications

Linked from the NIH How to Apply page are four new interactive tutorials on the basics of preparing, writing, and submitting your application. Tutorials take between four and eleven minutes each to listen through, and allow you to easily jump ahead to chapters of interest, or navigate back to review something you’ve missed. These tutorials link to additional resources, and transcripts.

### 6 AHA Awardees Must Now Have ORCID

As a reminder, the American Heart Association now requires that all awardees obtain an Open Researcher and Contributor Identification (ORCID), which is a universal identifier for researchers. The registration for an ORCID is very brief. Awardees will be prompted for this identifier in their upcoming scientific reports.

### 7 OSP Staff Updates

**Financial Management**

OSP Financial Management is pleased to announce the addition of two (2) new Accountants, Teddy Garcia and Steffi Harris. Both Teddy and Steffi joined the Financial Reporting Group on June 12th. Prior to joining OSP, they worked in Business Offices on the Medical School side of campus, and we are happy to have them join our team.