### Instructional Guide

**Performance Management Process**

<table>
<thead>
<tr>
<th>Employee Name</th>
<th>Job Title &amp; Department</th>
<th>Evaluation Period</th>
<th>Time in Position</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of the employee for whom this form is being completed.</td>
<td>Employee’s current job title and department.</td>
<td>Start and end date for period in which employee’s performance is being evaluated.</td>
<td>Employee’s length of time in current job.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Supervisor Name &amp; Title</th>
<th>Dual Report Supervisor Name &amp; Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name and title of supervisor who is completing this form, and to whom the employee reports.</td>
<td>Name and title of dual report supervisor who is providing input to this evaluation, if applicable.</td>
</tr>
</tbody>
</table>

### Unsatisfactory
- Performance was below expectations in essential areas of responsibility, with key goals and objectives missed. Contribution was typically below that of peers or incumbents in comparable positions.

### Needs Improvement
- Performance sometimes met, but did not consistently meet, position expectations. One (or more) of the most critical annual objectives was not met. Incumbent typically needs further coaching and development to fully meet position expectations.

### Met/Exceeded Expectations
- Performance consistently met or exceeded Yale’s high standards and expectations. All critical annual goals were achieved. Incumbent widely recognized as a strong and valued contributor.

### Exceptional
- Performance noticeably exceeded expectations and made a unique contribution to the achievement of University, School, or Departmental objectives. This rating is awarded to under 20% of Yale incumbents and is typically reserved for the top performer(s) for the year.

### Overall Performance for the Year

#### Include how results were achieved (i.e., Leadership, Teamwork, Organizational Progress, etc.)

*Summarize the employee’s overall accomplishments for the year along with justification for the overall performance rating. Include additional assignments, activities, or projects that were not covered in the individual performance goals. Provide an overview of how the employee’s interpersonal skills were utilized in the accomplishment of their goals, accountabilities and objectives or their day-to-day interactions with University staff, faculty or students. Check overall performance rating with an “X” using the scale to the right.*

#### Rating

- Exceptional
- Met/Exceeded Expectations
- Needs Improvement
- Unsatisfactory

### Key Accountabilities & Goals

#### Clear define key accountability, goal or objective (includes on-going work duties and developmental goals), aligned with organizational/department goal, if appropriate. Make goal/objective as SMART (Specific, Measurable, Achievable, Relevant, Time-framed) as possible.

(Note: Job descriptions can be used as a starting point for this process.)

<table>
<thead>
<tr>
<th>Mid-Cycle Status</th>
<th>Year-End Results</th>
<th>Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supervisor indicates if the employee is ahead of, on-track or falling behind in accomplishment of the key goal, objective or accountability. No rating is given at mid-cycle.</td>
<td>Supervisor describes accomplishment of goal, accountability or objective, and checks one of the performance ratings on the scale to the right with an “X”.</td>
<td>Exceptional</td>
</tr>
</tbody>
</table>

(Note: Met/Exceeded Expectations

- Needs Improvement

- Unsatisfactory)
# Instructional Guide

## Performance Management Process

<table>
<thead>
<tr>
<th>Key Accountabilities &amp; Goals</th>
<th>Mid-Cycle Status</th>
<th>Year-End Results</th>
<th>Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| Employee Comments | Employee has the option, but is not required, to add comments indicating agreement, disagreement or additional comments regarding the performance evaluation. |

### Supervisor Signature

- **Supervisor signs here.**
- **Date**
- **Supervisor enters date of their signature.**

### Dual Report Supervisor Signature (if applicable)

- **Dual Report Supervisor signs here, if applicable.**
- **Date**
- **Dual Report Supervisor enters date of their signature.**

### Second Level Review Signature

- **Supervisor’s Supervisor or other higher authority signs here.**
- **Date**
- **Supervisor’s Supervisor enters date of their signature.**

### Employee’s Signature

- **Employee signs here.**
- **Date**
- **Employee enters date of their signature.**

*Your signature on this form acknowledges that you have read the information and your supervisor has reviewed it with you.*