

NASA Certification Regarding Chinese Affiliations

This form must be completed by all Yale University personnel (faculty, staff, and students) associated with a NASA funded project or program.

Reason: Federal Law restricts NASA from providing funding to participate, collaborate or coordinate bilaterally in any way with China or any Chinese-owned company at the prime recipient or at any subrecipient level. The University must certify compliance with this restriction for each proposal or award.

NASA has defined "China or Chinese-owned Company" to mean the People's Republic of China (PRC), any company owned by the PRC, or any company incorporated under the laws of the PRC; this would include Chinese universities and research institutes. The law is interpreted by NASA to prohibit participation (funded or unfunded) of any individual, regardless of nationality, who is affiliated with the Chinese Government or a Chinese-owned Company. NASA does not consider this a prohibition on the participation of Chinese nationals.

Proposal/Award Information

Principal Investigator

IRES No.

Proposal Status

Award/Proposal Title

Individual Information

First Name

Last Name

Title

Answer "yes" or "no" to each of the following questions. If "yes", provide a written explanation or description in the space provided below and indicate how you plan to segregate it from your NASA funded activities. The text fields will expand as needed.

Do you currently receive any funding (salary, grant, contract, etc.) or other support from China, a Chinese-owned Company or any company incorporated under the laws of the People's Republic of China?

Note: This would include funding to a Yale University research center, institute, or group of which you are a member.

Description:

Do you have any active collaborations or other work-related relationships with individuals who are employed by or acting on behalf of China, a Chinese-owned Company or any company incorporated under the laws of the People's Republic of China?

Description:

Do you have an affiliation, appointment or position (e.g. honorary faculty appointments) with China, a Chinese-owned company or any company incorporated under the laws of the People's Republic of China?

Description:

Individual Certification

By submitting this form, I hereby certify that the information provided above is accurate to the best of my knowledge and belief.

Individual Signature: _____

Date: _____

Office of Sponsored Projects Use Only

Certification reviewed and approved by Director of Export Control Licensing?

Initialed _____

Assurances provided to NASA in proposal?

Assurances form signed and returned to NASA?