

OFFICE OF RESEARCH ADMINISTRATION NEWSLETTER

“Yale has a clear obligation to comply with all regulations pertaining to the administration of federal grants, and we will spare no effort to remedy any deficiencies in our practices.” President Rick Levin

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Federal Demonstration Project (FDP) Faculty Workload Survey

Yale faculty who were PIs on federally funded sponsored awards during the 2010-11 academic year received an email the week of January 23rd inviting them to participate in the FDP Faculty Workload Survey.

The FDP is a cooperative initiative of federal agencies and nonprofit institutional recipients of federal awards that work collaboratively to reduce administrative burdens associated with sponsored awards. Yale's FDP administrative and faculty representative are Michael Glasgow, Director, Office of Grant and Contract Administration and Sara Rockwell, PhD, Professor of Therapeutic Radiology and Pharmacology. Both Dr. Rockwell and Mr. Glasgow encourage faculty who have already been contacted to take the 15-30 minutes necessary to complete the survey and contribute to this national effort to streamline administrative processes/burdens related to federally-funded research.

It should be noted that an appropriate IRB has reviewed and approved the FDP Faculty Workload Survey to ensure that all responses are handled in a confidential manner by a qualified survey company.

Federal Funding For Science And Engineering Research FY2013

In early February the President transmitted his FY2013 budget to Congress, marking the official start of the annual appropriations process. Despite the caps on discretionary spending and the downward pressure on the budget, the Administration continues to prioritize federal investments in research and education. Specifically, the budgets for the National Science Foundation (NSF) and the Department of Energy's (DOE) Office of Science would increase, consistent with the President's plan to double federal funding for the physical sciences. Basic research at the National Institutes of Health (NIH) and the Department of Defense would be level-funded. Only the National Aeronautics and Space Administration (NASA) would be cut relative to FY2012.

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Did you know that...

On February 9, 2012 the Office for Postdoctoral Affairs distributed the AY 2012-2013 Compensation Policies for Postdoctoral Appointees? To review this document visit: http://www.yale.edu/postdocs/documents/PD_salary_memo_2012.pdf.

**Federal Funding for Science and Engineering Research
(\$ in millions)**

	FY2011	FY2012	FY2013 President's Request
NIH	30,470	30,702	30,702
NSF	6,900	7,033	7,372
DOE Science	4,884	4,874	4,992
Defense			
Basic Research	1,950	2,115	2,117
Applied Research	na	4,739	4,478
NASA – Science	4,919	5,074	4,911

The budget requests \$154.3 million for the National Endowment for the Humanities, an \$8 million, or five percent, increase over FY2012. The budget requests \$75.7 million for Title VI, International Education and Foreign Language Studies, an increase of \$1.7 million over FY2012 (but still well below the FY2010 appropriation).

Members of Congress hope to reach a deal with the President to dismantle the sequestration scheduled to take effect in January, 2013, but they are deeply divided over fundamental issues of revenues and expenditures.

As a practical matter, most of the appropriations decisions will be delayed until a lame duck session after the November, 2012 election, when Congress and the Administration will use the last few weeks of the year to amend or end the sequester, enact tax reform and conclude the FY2013 budget process.

The NIH and NSF Adjust their Fiscal Policy in Anticipation of the FY2013 Budget

To achieve its goal of supporting 9,415 new and competing research projects, an increase of 672 over FY1012, NIH has announced its intention to:

- Discontinue out-year inflationary allowances for competing and continuation grants
- Reduce noncompeting continuation grants by one percent below FY2012
- Negotiate the budget of competing grants to avoid growth in award size
- Establish a process to review any PI with existing grants of \$1.5M or more in total costs
- Maintain the revised salary cap at Executive Level II (\$179,700)

For more information visit: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-036.html>

Additional details on funding strategies by NIH Institute can be found by visiting: <http://grants.nih.gov/grants/financial/index.htm#strategies>.

A recent Notice of Intent http://www.nsf.gov/pubs/policydocs/arra/faqs_pi.jsp from the NSF revises American Recovery and Reinvestment Act (ARRA) awards general terms and conditions to ensure project completion by September 30, 2013.

Did you know that...

The NSF is pursuing new guidelines in its merit review rules in attempts to clear up ambiguous language on the interpretation of “broader impacts.” While the procedural changes may seem relevant only to those hoping to win NSF support, they also add to the continuing debate about how best to measure the results of federally funded research. To read additional details and comment, follow this link to the NSF Merit Review Criteria. <http://www.nsf.gov/nsb/publications/2011/meritreviewcriteria.pdf>

New Director Named For Human Subjects Protection Program (HRPP)

The Office of Research Administration is pleased to announce that Ms. Jan L. Hewett has been named director of Yale's Human Research Protection Program (HRPP). Ms. Hewett, who will take up her new post on March 1st, has been director of the University of Michigan Medical School's Institutional Review Board for Human Subjects Research, since 2006. Previously, she served as a senior grants and contracts officer in research and technology development services at Georgetown University, and supervisor of research operations at the Lombardi Cancer Center at Georgetown, where she was a clinical research nurse specialist in oncology, and clinical research nurse coordinator in clinical pharmacology. She has served as a consumer representative member of the U.S. Food and Drug Administration's (FDA) Nonprescription Drugs Advisory Committee, and has presented nationally for Public Responsibility in Medicine and Research, the National Comprehensive Cancer Network IRB Directors Forum, the Annual FDA Inspections Summit, and the Association for the Accreditation of Human Research Protections Programs. Ms. Hewett received her B.S.N. from Georgetown University School of Nursing and her J.D. from the University of Michigan Law School. She is co-author on a number of peer-reviewed articles in the areas of medical law, research results, and IRB function.

HRPP is a University-wide program that ensures Yale researchers adhere to the highest ethical standards in the protection of human research participants. Central to HRPP function is the work of Yale's Institutional Review Boards (IRB): four biomedical committees, collectively known as the Human Investigation Committee, and one social/behavioral/educational committee, known as the Human Subjects Committee. More information about the Human Research Protection Program, can be found on the HRPP website.

Ires Proposal Development Progress: User Acceptance Testing

On January 31st, the Psychiatry and Child Study departments of the Yale School of Medicine and select departments supported by Faculty Research Management Services (FMRS) began intensive user acceptance testing of the new Proposal Development application, a component of the Integrated Research Enterprise Solution (IRES). The testing consists of building and submitting proposals electronically for internal review and certification. Testing of the Proposal Development module will validate the system against new business processes, and will allow us to identify and resolve issues prior to the launch of the system later this year.

What is the Proposal Development module?

Proposal Development is an integrated electronic portal used for preparing, reviewing, certifying, tracking and submitting proposals to external agencies.

If you have any questions regarding the Proposal Development application, please contact IRES@yale.edu.

Upcoming Research Administration Training/Educational Events

Brown Bag Luncheon Series

Guidance on Implementing the NIH Salary Cap Change

Date: March 8, 2012

Time: 12:00 – 1:30 PM

Location: Winslow Auditorium, 60 College Street,

An Introduction to Sponsored Projects Administration

Date: March 20, 2012

Time: 8:30 AM – 4:30 PM

Location: 47 College Street, Room 212A

Research Compliance Principles for Administrators (Offered quarterly)

Date: March 8, 2012

Time: 8:30 AM – 1:00 PM

Location: 47 College Street, Room 212A

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What Research Staff Need to Know About Spending Sponsored Project Funds

Date: March 22, 2012

Time: 2:30 – 4:30 PM

Location: Child Study Center: Cohen Auditorium, 230 South Frontage Road

Additional Training for Faculty and Administrators

Grant and Contract Financial Administration (GCFA)

- Allowability of Costs and Cost Transfer Principles
- Effort Reporting Principles (web-based)
- Effort Reporting System Training
- Subrecipient Basics, Monitoring and Tracking (web-based)

Grant and Contract Administration (GCA)

- Hands-on Clinic – Grants.gov
- Fundamentals of Export Controls (web-based)

To learn more or to register for the above educational opportunities with the exception of Sponsored Projects Training for Faculty, visit <http://www.yale.edu/training/>. (Follow link to GCA and GCFA Training and then to Funding/ Grantsmanship Training Programs).

To learn more or register for Sponsored Projects Administration Training for Faculty (web-based) visit <http://www.yale.edu/training/>. Navigate to Office of Research Administration Training and click Courses.

OFFICE OF RESEARCH ADMINISTRATION MISSION STATEMENT

To coordinate the activities of the various University offices providing support to faculty, staff and students on sponsored projects, to assure that service provided by those offices is of the highest caliber and professionalism, and to serve as an effective representative for the research enterprise.