The OSP News & Updates, published by the Office of Sponsored Projects, provides OSP updates, quick facts, sponsor/agency updates, guidance and training in all aspects of sponsored projects administration for faculty and department business offices. Please visit http://grants.yale.edu/news for archives. To subscribe, please go to: https://messages.yale.edu/subscribe.

1 OFAC AND TRADE SANCTION LAWS

Donald Deyo, Director, Contracts and Export Controls Licensing

This communication is a reminder to the community of its responsibility to notify the Yale’s Director of Export Control Licensing, Don Deyo, prior to collaborating with an entity in or government of an OFAC (Office of Foreign Assets Control) sanctioned country.

The Office of Foreign Assets Control (OFAC) administers a number of different sanction and embargo programs. The sanctions can be either comprehensive or selective, using the blocking of assets and trade restrictions to advance foreign policy and national security goals. Sanctions invariably include a financial component; accordingly, the U.S. sanctions regime is under the jurisdiction of the U.S. Treasury Department. Generally it is advisable for Yale researchers and/or their staff to check the OFAC web site (below) to confirm whether an activity involving a foreign collaboration might be subject to OFAC restrictions:

http://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx

The list of counties covered by OFAC embargoes are:

The Balkans (Serbia, Albania, Bosnia, Croatia, Macedonia, including Kosovo), Belarus, Burma (Myanmar), Central African Republic, Cote d’Ivoire (Ivory Coast), Crimea, Cuba, Democratic Republic of the Congo, Iran, Iraq, Lebanon, Former Liberian Regime of Charles

Other News

Effective July 1, 2015, the Office(s) of Grant and Contract Administration (GCA) and Grant and Contract Financial Administration (GCFA) became the Office of Sponsored Projects (OSP). Please visit our website at http://grants.yale.edu to learn more about the combined office.

Effective August 31st, OSP moved to: 25 Science Park, 3rd Floor, 150 Munson Street, New Haven. Please make note of our new mailing address below:

Office of Sponsored Projects
P.O. Box 208327
New Haven, CT 06520-8327

All telephone numbers remain the same.
Taylor, Libya, North Korea, Somalia, Sudan, South Sudan related sanctions, Syria, Ukraine-Russian related sanction, Venezuela, Yemen and Zimbabwe.

It should be noted that due to the comprehensive nature of the sanctions against the following countries Cuba, Iran, Sudan, Syria and North Korea, activities involving these countries are very restricted and nearly all will be prohibited without a license from the U.S. government. This prohibition is not impacted even if the activities are of an academic or charitable nature. Examples of such activities would be:

- Furnishing financial assistance, materials or services to an entity in an embargoed country.
- Travel to an embargoed country with a laptop.
- Research, field-work, or instruction in an embargoed country.
- Importing merchandise from an embargoed country.
- Collaborating, presenting or training individuals or entities in an embargoed country.

In general, without an exemption, the exportation, re-exportation, sale, supply of goods, technology, or services is prohibited. The exchange of money is not necessary to invoke sanctions.

Accordingly, any transaction with Cuba, Iran, Sudan, Syria, or North Korea should be reviewed with the Director of Export Controls Licensing prior to initiating such transactions. The analysis of whether a proposed activity would be allowed should be made at the outset since, in the absence of an exception or exclusion, an OFAC license needs to be secured from the Treasury Department before initiating any transactions or dealings with those persons (including negotiations for a proposed activity).

In recent months, there have been some recent changes in the sanctions against Cuba. However, the Cuban embargo remains largely in place at this time. Most transactions between the United States, or persons subject to U.S. jurisdiction, and Cuba continue to be prohibited and OFAC continues to enforce the prohibitions.

Please direct OFAC related questions to:

Donald Deyo, Director of Export Control Licensing
Donald.Deyo@yale.edu
203 785 3817

**OTHER NEWS**

OSP added a couple of checklists to the OSP website to assist with the preparation of Research Performance Progress Reports (RPPR) for NIH [http://grants.yale.edu/resources](http://grants.yale.edu/resources).

Please use your GCAT email box for actionable requests only. Actionable requests are those requests requiring review and action by your assigned OSP authorized official. Such requests include, but are not limited to: sponsor prior approval requests, At-Risk requests, and requests for no-cost extensions, requests to issue outgoing subaward agreements/amendments, rebudgeting, JIT requests, contract review and negotiations, etc. These requests will be logged into IRES and assigned to your OSP representative. Please use IRES' log to view the status of your request. If you still have questions about a particular request, please email your OSP representative directly.
For more information, please refer to the Office of Sponsored Projects website on export controls http://grants.yale.edu/export-controls.

2 OSP NEW STAFF

Cheryl Magoveny, Director, Award Management

At our recent Research Administrators Group (RAG) meeting, we announced that Aurelie (Gigi) Martinez-Kennedy transitioned to a full-time Award Manager role and both Brenda Pachucki and Andrea Rogers were new additions to the award team.

Also, OSP is pleased to announce its most recent hire, Susan Hedley who filled the Award Manager role effective August 3rd. Susan has over 20 years of research administration experience and comes to us from Lawrence Berkeley National Laboratory.

Although many of you have begun working with new members of the awards team, we will be sending a future communication with permanent portfolio assignments.

OTHER NEWS

The Office of Sponsored Projects’ Leadership Team consists of:

Jeffrey Allen, J.D.
Director, Clinical Agreements Management

Donald Deyo, Ph.D.
Director, Contracts and Export Controls Licensing

Amy Ellis
Associate Director, Proposals

Cynthia Kane
Director, Business Operations

Nancy Kendrick
Director, Financial Operations

Cheryl Magoveny Director, Award Management

Lauren Pite
Associate Director, Subrecipient Monitoring

Alice Tangredi-Hannon
Interim Executive Director

OSP’s new organizational structure is located at: http://grants.yale.edu/sites/default/files/files/OSP%20Org%20Chart%208-5-15.pdf.