The OSP News & Updates, published by the Office of Sponsored Projects, provides OSP updates, quick facts, sponsor/agency updates, guidance and training in all aspects of sponsored projects administration for faculty and department business offices. Please visit http://grants.yale.edu/news for archives. To subscribe, please go to: https://messages.yale.edu/subscribe.

1 NEW FEDERAL FRINGE BENEFIT RATES

Yale University’s Rate Agreement was issued by the Division of Cost Allocation (DCA) on behalf of the Department of Health and Human Services (DHHS) on February 23, 2016. This Agreement reflects the recently negotiated fringe benefit rates. These rates go into effect July 1, 2016 (until amended) and should be reflected in all proposals. The rates are as follows:

<table>
<thead>
<tr>
<th>Fringe Benefit Rate</th>
<th>Rates Effective 07/01/15 – 06/30/16</th>
<th>Rates Effective 07/01/16 – until amended</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exempt</td>
<td>30.80%</td>
<td>31.10%</td>
</tr>
<tr>
<td>Non-Exempt</td>
<td>61.70%</td>
<td>62.50%</td>
</tr>
<tr>
<td>Part Time</td>
<td>8.90%</td>
<td>8.70%</td>
</tr>
</tbody>
</table>

Note: The F&A rates indicated in the Agreement dated September 18, 2015 have not changed and are still in effect.

Proposal Development (PD) Impact

On Monday, March 28, 2016, the PD module will be updated to reflect the new federally negotiated fringe benefit rates. Please be aware of the following regarding the use/impact of the new rates:

- Budgets that meet the following criteria must be updated:
  - PD budgets that were created prior to the close of business on March 28, 2016 (unless manually edited to reflect the new rates)
  - PD records with the status of “In Development” (indicating the record has not been submitted for routing)

Please follow the steps outlined in Quick Guide: Updating Fringe Benefit Rates to update the budget. Note that fringe benefit rates will not be updated unless the steps outlined in the Quick Guide are followed.

- The change in fringe benefit rates will not impact budgets that have already been submitted. PD budgets that have already been submitted to external sponsors cannot be updated.

If you have any questions, please contact your OSP Reviewer.
2 NIH GRANTS POLICY STATEMENT (OCT/NOV 2015)

As a reminder, the revised NIH Grants Policy Statement (October/November 2015) is effective for all NIH grants and cooperative agreements with budget periods beginning on or after October 1, 2015.

Specifically, note the following changes in Administrative Requirements listed in Chapter 8 that pertain to prior approval from NIH:

- **Sec. 8.1.1.3** Extension of Final Budget Period of a Previously Approved project period without Additional NIH Funds: To reduce administrative burden, NIH will allow our recipients to reduce effort during a NCE without prior approval.

- **Sec. 8.1.2.5** Change in Scope Expands the description of Changes from the Approved Involvement of Human Subjects Requiring Prior NIH Approval

- **Sec. 8.2.3.3** Genomic Data Sharing (GDS) Policy/Policy for Genome-Wide Association Studies (GWAS) Allows investigators to request permission to transfer controlled-access genomic and associated phenotypic data obtained from NIH-designated data repositories that are under the auspices of the NIH GDS Policy to public or private cloud systems for data storage and analysis

You can review the Significant Changes document (PDF - 42 KB) which summarizes the significant changes in the Oct/Nov 2015 NIHGPS. However, it is important that you read the text published in the Oct/Nov 2015 NIHGPS for full details.

Awards with budget periods prior to October 1, 2015 should refer to the March 31, 2015 Grants Policy Statement: 3/31/2015 NIHGPS

3 OSP EDUCATIONAL OPPORTUNITIES

3.1 FOLLOW-UP: PROCESSING FEDERALLY FUNDED SUBAWARD INVOICES BROWN BAG LUNCHEON

Are you involved in the processing of federally funded subaward invoices? The announcement of an OSP-hosted March 14th Brown Bag session covering the topic of “Processing Federally Funded Subaward Invoices” was met with great interest. Less than 24 hours after the announcement, registration was completely full – leaving many waitlisted. With over 100 attendees present, panelist
members including Associate Director of OSP Subawards Lauren Pite, OSP Sr. Financial Analyst Dennis Titley, OSP Accounting Supervisor Melissa Tebo and Nichelle Bass, Supervisor from Accounts Payable, Yale Shared Services led an informative and valuable session.

Below are some of the important takeaways from the session:

- Yale Shared Services, Department Business Offices, Principal Investigators, the OSP Subaward Management Team and OSP Financial Management Team all have a role in processing subaward invoices.
- Use of Electronic Signatures on subaward invoices is allowed and in compliance with Policy 1613.2.
- Fiscal Year 2016’s A-133 audit may be Yale’s first test of compliance with the Uniform Guidance (“A-81”) requirement that subaward invoices be paid within 30 days after receipt of invoices.
- Late invoicing by subrecipients has many implications including difficulty in processing which may result in non-payment, potential refiling of the FFR, and carryover implications.
- Reference resources include Procedure 1307PR.01 Monitoring Subrecipient Activity Associated with Sponsored Programs, and the Subaward Basics on-line training.

A copy of the slide presentation is available on the OSP website in the following location:

→ Educational Opportunities
→ Administrator Education
→ Brown Bag Luncheon Series → A Primer on Subaward Invoicing

### 3.2 SPONSORED PROJECTS TRAINING

We are delighted to inform you of the newest OSP instructor-led training available in an online training format, “What Research Staff need to know about spending sponsored projects funds...”.

The online training course will be available to the Yale community, effective April 1st through TMS.

### 4 OSP STAFF UPDATES

We are pleased to introduce the following new hires in OSP:

**Clinical Agreements Team**

- We are happy to announce that *Melissa Roy*, J.D., joined the Clinical Trials team as a Contract Manager on February 8th. Melissa is new to Yale, she was formerly Staff Counsel at Cyient, Inc., where she handled the drafting and negotiation of a variety of contracts. Melissa’s current role is to
negotiate Cancer Center clinical trials and confidentiality agreements. She has been a welcome addition to the Clinical Trials team.

Financial Management Team

- We are also pleased to welcome Annie Carusone to OSP as the new Invoicing Accountant. Annie joined OSP on March 8th. Annie is currently undergoing training and will eventually be handling the invoicing for the non-federal awards.

5. OSP WEBSITE UPDATES

5.1 NEW OSP CLINICAL TRIALS WEBPAGE

Following the formation of the Clinical Agreements group under the direction of Jeffrey Allen, Director of Clinical Trial Agreements, the team created a new Clinical Trials section to the OSP website. Please take a moment to visit the new webpage at http://grants.yale.edu/clinical-trials and learn more about the team’s structure and the important work they do. The clinical trials website contains valuable information from FAQs and related training, education opportunities and other useful links.

5.2 PORTFOLIO CHANGES FROM OUR TEAMS

The Proposal Management, Contract Management and Award Setup teams of OSP have made changes to their departmental portfolio matrixes. Please be sure to visit our Contact Us page to confirm your contacts.